

BEST AVAILABLE COPY

This facsimile message and its contents are legally privileged and confidential information intended solely for the use of the addressee. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, copying or other use of this message and its contents is strictly prohibited. If you have received this telecopy in error, please notify us immediately by telephone and return the original message to us at the address shown below via the Postal Service. Thank You.

ALSTON&BIRD LLP

101 South Tryon Street, Suite 4000
 Charlotte, NC 28280-4000
 704-444-1000
 Fax: 704-444-1111

RECEIVED
 CENTRAL FAX CENTER
 SEP 23 2004

TELCOPY**PLEASE DELIVER IMMEDIATELY**

DATE: September 23, 2004

TO: **UNITED STATES PATENT AND TRADEMARK OFFICE**
ART UNIT 3624

Andrew T. Spence
 Reg. No. 45,699

In re: Mathews et al. Confirmation No. 9288
 Appl. No. 09/902,021 Art Unit: 3624
 Filed July 10, 2001 Examiner: Narayanswamy Subramanian
 Title: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR PERFORMING A GENERALIZED
 CONTINGENT CLAIM VALUATION

At the request of Examiner Subramanian, please process the attached two previously-filed PTO 1449 forms (2 pages) with two cited articles (10 pages and 2 pages), and fax confirmation of receipt to Andrew T. Spence at 704-444-1111. Thank you.

NO. OF PAGES:
 (Including cover page)

15

OPERATOR:**IF NOT RECEIVED PROPERLY, PLEASE NOTIFY ME IMMEDIATELY AT**

USER CODE:

SIMMB

FAX NUMBER:

703-872-9306

CLIENT/MATTER:

038190/268164

REQUESTED BY:

Sarah Simmons 1142

VOICE NUMBER:

09/902021
07/10/01

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CLT01/4.187248v1

COPY

¹ Unique station designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

09-03 05:27pm from

T-1 : P.010/022 F-524

Substitute for form 1449/PTO (Revised 04/2003)		Complete if Known	
<p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>			
Sheet	1	of	1
<p>SEARCHED DOCUMENTS</p> <p>English</p>			
Application Number	09/902,021		
Filing Date	July 10, 2001		
First Named Inventor	Mathew et al.		
Group Art Unit	3624		
Examiner Name	Geoffrey R. Akers		
Attorney Docket Number	038190/23498B		

OTHER DOCUMENTS

[Handwritten signature]
*Examiner
Signature _____
*Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line
through citation if not in conformance and not considered. Include copy of this form with next communication to
applicant.

CLT014622311v1

Date
Considered

2/3/04

PAGE 1022 * RCVD AT 12/3/2003 5:26:50 PM [Eastern Standard Time] * SVR:USPTO-EXRF-113 * DNI:8729306 * CSID: * DURATION (min:ss):08:55

PAGE 3/15 * RCVD AT 9/23/2004 3:06:53 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/2 * DNI:8729306 * CSID:704441111 * DURATION (mm:ss):04:54

COPY

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.